

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Hiromi KAWAMURA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_0900A
Filed July 17, 2006 :

WIRELESS IC COMMUNICATION DEVICE
AND RESPONSE METHOD FOR THE SAME
[Corresponding to PCT/JP2005/009496
Filed May 18, 2005]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

- 1a. ☒ This Information Disclosure Statement is submitted:
within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, or
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

IAP11 Rec'd PCT/PTO 17 JUL 2006

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ the International Search Report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- JP 2003-223624 corresponds to U.S. 6,923,380.
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiromi KAWAMURA et al.

By 

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July 17, 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 17, 2006

ATTY DOCKET NO.
2006_0900ASERIAL NO.
NEWAPPLICANT
Hiromi KAWAMURA et al.FILING DATE
July 17, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/K.L./	AA	6,923,380	8/2005	Nakabe et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/K.L./	AJ	2004/102313	11/2004	WO			
/K.L./	AK	1 321 889	6/2003	EP			
/K.L./	AL	297 14 999	11/1997	EP			
/K.L./	AM	2003-223624	8/2003	JP			Abstract
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

/K.L./	AO	A. Juels et al., "The Blocker Tag: Selective Blocking of RFID Tags for Consumer Privacy," CONFERENCE ON COMPUTER AND COMMUNICATIONS SECURITY 2003, ACM PRESS, October 2003 (10/2003), pp. 103-111, XP002341165
/K.L./	AP	Finkenzeller, "RFID-Handbuch", September 26, 2002 (9/26/2002), CARL HANSER VERLAG, Munchen, Wien, pp. 203-224, XP002341283
/K.L./	AQ	ISO/IEC14433-3, International Standard, first edition, "Identification cards -- Contactless integrated circuit(s) cards -- Proximity cards", February 1, 2001 (2/1/2001)

EXAMINER	/Kevin Lau/ (07/17/2009)	DATE CONSIDERED	07/17/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.L./